

08-27-01

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Page 1 of 1

09/843,661

04/26/2001

Jun Ishihara

15162/03540

**CONFIRMATION NO. 9666** 

24367 SIDLEY AUSTIN BROWN & WOOD 717 NORTH HARWOOD **SUITE 3400 DALLAS, TX 75201** 

**FORMALITIES LETTER** OC000000006211842\*

Date Mailed: 06/21/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

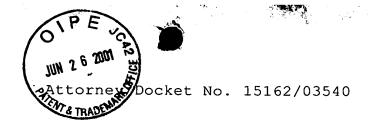
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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07/03/2001



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. application:

Jun ISHIHARA, Kohtaro HAYASHI and

Yuichiro ORI

For:

LIGHT SEPARATION DEVICE, BLAZED GRATING DEVICE, DIFFRACTION GRATING DEVICE, AND

ILLUMINATION OPTICAL SYSTEM

U.S. Serial No.:

09/843,661

Filed:

April 26, 2001

Group Art Unit:

2872

Examiner:

To Be Assigned

#### Box MISSING PARTS

Assistant Director For Patents Washington, D.C. 20231

EXPRESS MAIL MAILING LABEL NO.: EL237997298US DATE OF DEPOSIT: JUNE 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to the Box MISSING PARTS, Assistant Director for Patents, Washington, DC 20231.

**Derrick Gordon** 

Name of Person Mailing Paper or Fee

Signature

JUNE 26, 2001

Date of Signature

Dear Sir:

## **COMPLETION OF APPLICATION**

A Notice to File Missing Parts of NonProvisional Application (copy enclosed) was mailed on June 21, 2001, indicating that the declaration filed with the above-identified U.S. patent application was unexecuted.

Submitted herewith is the declaration executed by Applicants Jun ISHIHARA, Kohtaro HAYASHI, and Yuichiro ORI on June 6, 2001, respectively. The executed declaration identifies the specification by title, filing date, and the name of the inventors.

Serial No. 09/843,661

Please charge \$130.00 for the 37 C.F.R. 1.16(e) surcharge for the late filing of an executed declaration to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please charge any additional fees (other than the issue fee) required during the pendency of this application to Deposit Account #18-1260. Please credit any overpayment to Deposit Account #18-1260.

A duplicate copy of this paper is enclosed herewith.

Respectfully submitted,

Bv:

James W. Williams

Registration No. 20,047 Attorney for Applicants

JWW/fis SIDLEY AUSTIN BROWN & WOOD 717 North Harwood, Suite 3400 Dallas, Texas 75201-6507 (214) 981-3328 (Direct) (214) 981-3300 (Main) (214) 981-3400 (Facsimile) June 26, 2001